

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491</b>		ATTORNEY DOCKET NO.  214848 U.S. APPLICATION NO. Unassigned <b>10/018670</b>
INTERNATIONAL APPLICATION NO. PCT/DK00/00319	INTERNATIONAL FILING DATE 14 JUNE 2000 (14.06.00)	PRIORITY DATE CLAIMED 14 JUNE 1999 (14.06.99)
TITLE OF INVENTION A HEAT EXCHANGER PLATE AND SUCH A PLATE WITH A GASKET		
APPLICANT(S) FOR DO/EO/US PETERSEN, Jes H.; JENSEN, Benny		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)).		
4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul>		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).		
9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).		
11. Nucleotide and/or Amino Acid Sequence Submission <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:             <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper Copy</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>		
<b>Items 12 to 19 below concern other document(s) or information included:</b>		
12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Form PTO-1449</li> <li><input checked="" type="checkbox"/> Copies of Listed Documents</li> </ul>		
13. <input type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
14. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <ul style="list-style-type: none"> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> </ul>		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A change of power of attorney and/or address letter.		
17. <input checked="" type="checkbox"/> Application Data Sheet Under 37 CFR 1.76		
18. <input checked="" type="checkbox"/> Return Receipt Postcard		
19. <input checked="" type="checkbox"/> Other items or information: Amendments to Claims Made in Preliminary Amendment Dated December 13, 2001; Pending Claims as of December 13, 2001; Copy of International Search Report; Filing Fee		

U.S. APPLICATION NO. Unassigned <b>107018670</b>		INTERNATIONAL APPLICATION NO. PCT/DK00/00319		ATTORNEY DOCKET NO. 214848	
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20. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$ 890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4) ..... \$ 100.00				CALCULATIONS	PTO USE ONLY
<b>ENTER APPROPRIATE BASIC FEE AMOUNT=</b>				\$890.00	
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21	-20=	1	x \$ 18.00	\$18.00
Independent Claims	1	- 3 =	0	x \$ 84.00	\$0.00
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$280.00	\$
<b>TOTAL OF ABOVE CALCULATIONS=</b>				\$908.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL=</b>				\$908.00	
Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.				\$	
<b>TOTAL NATIONAL FEE=</b>				\$908.00	
Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property				+	\$
<b>TOTAL FEE ENCLOSED=</b>				\$908.00	
				Amount to be: refunded	\$
				charged:	\$

a. ☒ A check in the amount of \$908.00 to cover the above fee is enclosed.


b. ☐ Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

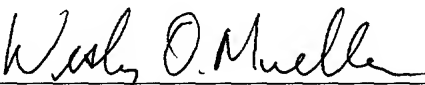
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**23460**

PATENT TRADEMARK OFFICE



Wesley O. Mueller, Registration No. 33,976  
One of the Attorneys for Applicant(s)

Date: December 13, 2001

531 Rec'd PCT.

13 DEC 2001

U.S. APPLICATION NO.  
Unassigned 10/018670INTERNATIONAL APPLICATION NO.  
PCT/DK00/00319ATTORNEY DOCKET NO.  
214848

## CERTIFICATION UNDER 37 CFR 1.10

"Express Mail" Label Number: EL643546188US

Date of Deposit: December 13, 2001

I hereby certify that this express request to begin national examination procedures under 35 USC 371(f) of the International Patent Application referenced above, including all of the items listed thereon as enclosures, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Box PCT, Commissioner for Patents, Attention: DO/EO/US, Washington, D.C. 20231.

Irina L. Milkitiuk



Printed Name of Person Signing:

Signature

PATENT  
Attorney Docket No. 214848

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Petersen et al.

Group Art Unit: Unassigned

Application No. Unassigned  
(U.S. National Phase of PCT/DK00/00319)

Examiner: Unassigned

Filed: December 13, 2001

For: A HEAT EXCHANGE PLATE AND  
SUCH A PLATE WITH A GASKET

**PRELIMINARY AMENDMENT**

Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Prior to the examination of the above-mentioned patent application, please enter the following amendments and consider the following remarks.

**AMENDMENTS**

**IN THE CLAIMS:**

Please amend the claims as follows:

1. (Amended) A heat exchanger plate for a plate-type heat exchanger, said plate comprising a gasket groove in the form of an indentation that extends, at least across the portion of the plate, close to the periphery of the plate and is, at intervals, provided with an expanded portion for receiving a coupling element on an associated gasket, said expanded portion being situated substantially in the same place as the gasket groove itself, wherein there is, in connection with each of the expanded portions of the gasket groove, by cutting and ridging of the plate material provided at

least two openings substantially perpendicular to the longitudinal direction of the gasket groove, said coupling element, wherein in the expanded portion and substantially perpendicular to the gasket groove there is provided at least one ridged, tongue-like portion, wherein the openings are located at each side of the tongue-like portion between this and the expanded portion of the gasket groove.

2. (Amended) A heat exchanger plate according to claim 1, wherein one ridged, tongue-like portion is provided centrally in the expanded portion.

3. (Amended) A heat exchanger plate according to claim 1, wherein two ridged, tongue-like portions are provided at a distance from each other in the expanded portion.

4. (Amended) A heat exchanger plate according to claim 1, wherein a gasket is provided, said coupling element of the gasket comprises protruding flaps that are able to engage with the openings.

5. (Amended) A heat exchanger plate according to claim 4, wherein one ridged, tongue-like portion is provided centrally in the expanded portion; and that the coupling element of the gasket comprises two protruding flaps that are configured for engaging with the openings provided at each side of the tongue-like portion.

6. (Amended) A heat exchanger plate according to claim 4, wherein two ridged, tongue-like portions are provided at a distance from each other in the expanded portion; and that the coupling element of the gasket comprises a protruding

7. (Amended) A heat exchanger plate according to claim 4, wherein two ridged, tongue-like portions are provided at a distance from each other in the expanded portion; and that the coupling element of the gasket comprises two outwardly protruding flaps that are configured for engaging with the two mutually most distant openings provided at each their tongue-like portion.

9. (Amended) A heat exchanger plate according to claim 4, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

Please add the following claims:

12. (New) A heat exchanger plate according to claim 6, wherein the flaps on the coupling elements of the gasket extend partially into the openings.

13. (New) A heat exchanger plate according to claim 7, wherein the flaps on the coupling elements of the gasket extend partially into the openings.

14. (New) A heat exchanger plate according to claim 5, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

15. (New) A heat exchanger plate according to claim 6, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

16. (New) A heat exchanger plate according to claim 7, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

17. (New) A heat exchanger plate according to claim 5, wherein the coupling element of the gasket is provided with a superjacent pressure element.

18. (New) A heat exchanger plate according to claim 6, wherein the coupling element of the gasket is provided with a superjacent pressure element.

19. (New) A heat exchanger plate according to claim 7, wherein the coupling element of the gasket is provided with a superjacent pressure element.

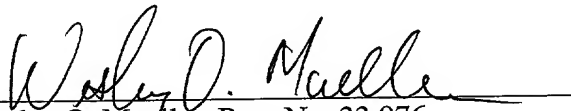
In re Appln. of: Petersen et al.

Application No. Unassigned (U.S. National Phase of PCT/DK00/00319)

20. (New) A heat exchanger plate according to claim 8, wherein the coupling element of the gasket is provided with a superjacent pressure element.

21. (New) A heat exchanger plate according to claim 9, wherein the coupling element of the gasket is provided with a superjacent pressure element.

Respectfully submitted,

  
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Date: December 13, 2001



PATENT  
Attorney Docket No. 214848

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Petersen et al.

Group Art Unit: Unassigned

Application No. Unassigned  
(U.S. National Phase of PCT/DK00/00319)

Examiner: Unassigned

Filed: December 13, 2001

For: A HEAT EXCHANGE PLATE AND  
SUCH A PLATE WITH A GASKET

**AMENDMENTS TO CLAIMS MADE IN  
PRELIMINARY AMENDMENT DATED DECEMBER 13, 2001**

*Amendments to the claims:*

*Please amend claims 1- 10 as follows:*

1. A heat exchanger plate [(1;21)] for a plate-type heat exchanger, said plate [(1;21)] comprising a gasket groove [(6;26)] in the form of an indentation that extends, at least across the portion of the plate [(1;21)], close to the periphery of the plate and is, at intervals, provided with an expanded portion [(7;27)] for receiving a coupling element [(10;30;40)] on an associated gasket [(3;33;43)], said expanded portion [(7;27)] being situated substantially in the same place as the gasket groove [(6;26)] itself, wherein there is, in connection with each of the expanded portions [(7;27)] of the gasket groove [(6;26)], by cutting and ridging of the plate material provided at least two openings [(8;28)] substantially perpendicular to the longitudinal direction of the gasket groove, said coupling element [(10;30;40)], [characterised in that] wherein in the expanded portion [(7;27)] and substantially perpendicular to the gasket groove [(6;26)] there is

provided at least one ridged, tongue-like portion [(9;29)], wherein the openings [(8;28)] are located at each side of the tongue-like portion [(9;29)] between this and the expanded portion [(7;27)] of the gasket groove [(6;26)].

2. A heat exchanger plate according to claim 1, [characterised in that] wherein one ridged, tongue-like portion [(9)] is provided centrally in the expanded portion [(7)].

3. A heat exchanger plate according to claim 1, [characterised in that] wherein two ridged, tongue-like portions [(29)] are provided at a distance from each other in the expanded portion [(27)].

4. A heat exchanger plate according to claim 1, [characterised in that] wherein a gasket [(3;33;43)] is provided, said coupling element [(10;30;40)] of the gasket comprises protruding flaps [(11;31;42)] that are able to engage with the openings [(8;28)].

5. A heat exchanger plate according to claim 4, [characterised in that] wherein one ridged, tongue-like portion [(9)] is provided centrally in the expanded portion [(7)]; and that the coupling element [(10)] of the gasket comprises two protruding flaps [(11)] that are configured for engaging with the openings [(8)] provided at each side of the tongue-like portion [(9)].

6. A heat exchanger plate according to claim 4, [characterised in that] wherein two ridged, tongue-like portions [(29)] are provided at a distance from each other in the expanded portion [(27)]; and that the coupling element [(30)] of the gasket comprises a protruding flap [(31)] configured for engaging the two central and mutually facing openings [(28)] provided at each their tongue-like portion [(29)].

7. A heat exchanger plate according to claim 4, [characterised in that] wherein two ridged, tongue-like portions [(29)] are provided at a distance from each other in the expanded portion [(27)]; and that the coupling element [(40)] of the gasket comprises two outwardly protruding flaps [(42)] that are configured for engaging with the two mutually most distant openings [(28)] provided at each their tongue-like portion [(29)].

8. (Amended) A heat exchanger plate according to [any one of claims 4-7] claim 4, [characterised in that] wherein the flaps [(11;32;42)] on the coupling elements [(10;30;40)] of the gasket extend partially into the openings [(8;28)].

9. (Amended) A heat exchanger plate according to [any one of claims 4-7] claim 4, [characterised in that] wherein the flaps [(11;31;42)] on the coupling elements [(10;30;40)] of the gasket press on the openings [(8;28)] without extending considerably into the same.

10. (Amended) A heat exchanger plate according to [any one of claims 4-9] claim 4, [characterised in that] wherein the coupling element [(10;30;40)] of the gasket is provided with a superjacent pressure element [(34)].

*Please add the claims 11-21:*

11. (New) A heat exchanger plate according to claim 5, wherein the flaps on the coupling elements of the gasket extend partially into the openings.

12. (New) A heat exchanger plate according to claim 6, wherein the flaps on the coupling elements of the gasket extend partially into the openings.

13. (New) A heat exchanger plate according to claim 7, wherein the flaps on the coupling elements of the gasket extend partially into the openings.

14. (New) A heat exchanger plate according to claim 5, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

15. (New) A heat exchanger plate according to claim 6, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

16. (New) A heat exchanger plate according to claim 7, wherein the flaps on the coupling elements of the gasket press on the openings without extending considerably into the same.

17. (New) A heat exchanger plate according to claim 5, wherein the coupling element of the gasket is provided with a superjacent pressure element.

18. (New) A heat exchanger plate according to claim 6, wherein the coupling element of the gasket is provided with a superjacent pressure element.

19. (New) A heat exchanger plate according to claim 7, wherein the coupling element of the gasket is provided with a superjacent pressure element.

20. (New) A heat exchanger plate according to claim 8, wherein the coupling element of the gasket is provided with a superjacent pressure element.

21. (New) A heat exchanger plate according to claim 9, wherein the coupling element of the gasket is provided with a superjacent pressure element.

A heat exchanger plate and such a plate with a gasket

The invention relates to a plate for a plate-type heat exchanger according to the preamble of claim 1.

5

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10 Plate-type heat exchangers are constructed of a number of plates separated by gaskets. In general, each plate has a rectangular configuration and at each corner it is provided with inlet and outlet openings for two heat exchanger media. The plate is ridged into a corrugated pattern and provided with a gasket that will, when the plate-type heat exchanger is assembled, abut on the next plate in the stack. The gasket defines a flow area that is in contact with two of the corner openings and therefore allows flow of a first heat exchanger medium to this side of the plate. The two remaining corner openings are cut off by the gasket. The subsequent heat, exchanger plate in the stack has been rotated 180°, and thus its gasket defines a flow area that is in contact with the two other corner openings on the opposite side of the first plate and permits flow of another heat exchanger medium on this side of the plate. By rotating every other heat exchanger plate 180° a plate-type heat exchanger is constructed wherein every other space is flushed by the first heat exchanger medium whereas the remaining spaces are flushed by the other heat exchanger medium.

30 Generally, every heat exchanger plate is provided with a gasket groove wherein a gasket that is preferably made of rubber can be arranged. In order to facilitate assembly of the plate-type heat exchanger, the gasket is secured in the gasket groove and this can be accomplished in a variety of ways. Conventionally the gasket is glued into the gasket groove, but in view of the fact that this

causes a problem in connection with a subsequent disassembly of the plate-type heat exchanger, alternative mechanical attachment methods have been developed.

- 5 Such mechanical attachment methods can be divided into two groups. In the first group the attachment is accomplished in that the gasket is provided with a protruding portion that can engage with an opening provided in connection with the gasket groove. The opening can be a
- 10 punched opening (eg as shown in US-A-4 377 204) or it may be formed by cutting and ridging of plate material whereby an opening is formed without removal of material (eg as shown in US-A-4 905 758). In the other group the
- 15 gasket and the gasket groove are configured such that the gasket extends beyond the edge of the heat exchanger plate and is secured there by means of flaps that seize around the edge (eg as shown in EP 0 762 071).

- 20 The present invention relates to the group of attachment methods wherein openings are provided by cutting and ridging of plate material as shown in US-A-4 905 758. This method presents a number of advantages compared to the other methods mentioned.

- 25 The method in which an opening is punched into the heat exchanger plate near the gasket groove is associated with the drawback that the punching of the opening - or in reality the many holes that are spaced apart along the gasket groove - presupposes either a separate series of operation following ridging of the plate, or it presupposes
- 30 that the ridging tool is also provided with punching tools which significantly increases the cost of such tool. Besides, the latter solution is undesirable since there will be a risk that punched-out parts remain in the

ridging tool and is thereby detrimental to the subsequent  
ridging/punching process.

5 Cutting and ridging of material to form the opening can  
be accomplished in the same operation procedure as the  
ridging of the plate itself, and thus no separate opera-  
tion procedure is required and, likewise, the demands to  
the large tolerances of the cutting tool are not high,  
and it can therefore relatively inexpensively be incorpo-  
10 rated in the ridging tool.

15 In the methods where the gasket and the gasket groove are  
configured such that the gasket extends beyond the pe-  
riphery of the heat exchanger plate and is secured there  
by means of flaps that engage around the edge, a complex  
configuration of the gasket is necessary which, on the  
one hand, increases the cost of manufacture of such gas-  
ket and, on the other, renders the mounting of the gasket  
cumbersome and time-consuming.

20 A gasket for mounting in openings formed by cutting and  
ridging of material may have a simple configuration and  
is comparatively readily mounted in the gasket groove.

25 US-A-4 905 758 teaches a heat exchanger plate with a gas-  
ket groove provided, at intervals, with an expanded por-  
tion that is situated in the same plane as the gasket  
groove itself, and which is therefore pressed down rela-  
tive to the surrounding gasket material. By the pressing-  
30 down the ends of the expanded portion have been cut open,  
whereby openings are formed there in a plane located sub-  
stantially perpendicular to the longitudinal direction of  
the gasket groove.



5 The gasket is provided with protruding coupling elements that fit into the expanded portions of the gasket groove, their configuration being such that they are able to engage with the openings that are provided at each end of the expanded portions.

10 Securing of the gasket is accomplished in that the ends of the expanded coupling element of the gasket are pressed into the openings, which means that the holding force is determined by the engagement between the expanded portion of the gasket and primarily the upper edge 1 of the openings.

15 It has been found that in the manufacture of heat exchanger plates with a configuration that corresponds to the one shown in US-A-4 905 758, it is difficult to observe the requisite tolerances on the distance between the two upper edges of the openings, the plate material contracting when the initially plane plate is pressed upwards to the desired profiled shape. The extent of the contraction depends in part on the ridging of the surrounding material, in part on the plate material and in part on the plate thickness. The distance between the two upper edges can thus vary from plate to plate and from 20 coupling site to coupling site along the gasket groove, with an ensuing undesirable variation in the holding force between the expanded portion of the gasket groove and the coupling element of the gasket. Such irregular holding force may give rise to problems during assembly 25 of a plate-type heat exchanger since a gasket may unintentionally be displaced out of the gasket groove in case it is not sufficiently attached. 30

It is the object of the present invention to provide a heat exchanger plate of the above-mentioned type, wherein the distance between the edges with which the gasket engages can be manufactured within narrow tolerances so as to overcome the above-mentioned drawbacks.

This is obtained by configuring the plate mentioned above as featured in the characterising part of claim 1.

10 Hereby a heat exchanger plate is obtained wherein the expanded portion features securing openings to each side of the ridged, tongue-like portion(s), and the distance between the openings formed can be kept within narrow tolerances, the ridged, tongue-like portion(s) not being influenced by the contraction pattern of the surrounding material as such during manufacture of the plate. Such configuration of the heat exchanger plate thus enables that all the mutually spaced expanded portions feature securing openings, whose mutual distance is kept within the same narrow tolerances independently of the ridging as such and of the plate material and thickness. Besides, this also means that the same ridging tool can be used in the manufacture of heat exchanger plates made of different materials and having different thicknesses. Since the coupling elements of the gasket that are configured for engaging with these tolerances can also be manufactured within narrow tolerances, it is possible to obtain a homogeneous holding force throughout the space between the plate and the gasket.

30

According to a first embodiment of the invention, one ridged, tongue-like portion is provided centrally in the expanded portion, and the coupling element of the gasket comprises two protruding parts that are configured to engage with the openings provided at each side of the tongue-like portion. This embodiment constitutes the simplest configuration of a heat exchanger plate in accordance with the invention.

According to an alternative embodiment of the invention two ridged, tongue-like portions are provided at a distance from each other in the expanded portion. In this embodiment there is provided four attachment openings, viz one on each side of the two tongue-like portions. Therefore the coupling element of the gasket can be manufactured in a variety of ways, as it may be configured with protruding flaps that engage with different openings. Thus, the coupling element of the gasket may comprise a protruding flap configured to engage with the two middle and mutually facing openings provided at each their tongue-like portion, or it may comprise two protruding flaps that are configured for engaging with the two mutually most distant openings provided at each their tongue-like portion. Thus the gasket can be configured with an engagement flap that is clamped between the tongue-like portions or with engagement flaps that clamp around the two tongue-like portions.

The flaps on the couplings elements of the gasket can be configured such that they extend partially into the openings, or they can be configured such that they press on the openings without extending considerably there into, depending on how much holding force is desired.

Finally the coupling element of the gasket can be provided with a pressure element arranged above the coupling element as such, said pressure element not interfering with the functionality of the coupling element of the gasket, but facilitating the mounting of the gasket on the plate.

The invention will now be explained in further detail with reference to the drawing, wherein

Figure 1 shows a heat exchanger plate according to the invention provided with a gasket;

Figure 2 is an enlarged view of a section of the embodiment of a heat exchanger plate according to a first embodiment of the invention;

Figure 3 shows a part of a gasket that can be mounted in the heat exchanger plate shown in Figure 2;

Figure 4 shows the gasket shown in Figure 3 mounted in the heat exchanger plate shown in Figure 2;

Figure 5 is an enlarged view of a section of the embodiment of a heat exchanger plate according to an alternative embodiment of the invention; and

Figures 6-8 show parts of a gasket that can be mounted in the heat exchanger plate shown in Figure 5.

Figure 1 shows a rectangular heat exchanger plate 1 with corner openings 2 for the heat exchanger media. The plate 1 is provided with a gasket 3 that defines a flow area 4

for the one heat exchanger medium, it being in communication with two of the corner openings 2. The remaining two corner openings 2 are cut off by the gasket 3. Preferably the plate 1 is configured with a corrugated surface as shown since, on the one hand, it increases the heat exchange across the plate 1 and, on the other, imparts rigidity to the plate 1. The corrugations are accomplished by ridging in a pressing tool. When a heat exchanger is assembled every other plate 1 with gasket 3 is rotated 180° such that the one heat exchanger medium flows between every other plate, whereas the other flows between the remaining plates. This is a completely conventional construction of a heat exchanger.

In order to facilitate mounting of the plate-type heat exchanger, the gasket 3 is attached to the plate 1. To this end, the gasket 3 is provided with expanded portions 5 evenly distributed around the gasket. The plate 1 is configured with cut-outs that are complementary with the expanded portions of the gasket 3 whereby the gasket 3 can be secured by means of these cut-outs in the plate 1.

Figure 2 is an enlarged-scale view of a portion of the heat exchanger plate 1 according to a first embodiment of the invention.

As will appear the plate 1 is provided with a gasket groove 6 for receiving a gasket 3. The gasket groove 6 is provided with mutually spaced expanded portions 7 that are complementary with corresponding expanded portions on the gasket 3. Centrally in the expanded portion 7, an opening 8 is provided that is accomplished by the ridging of the plate 1. In this pressing operation the corrugated surface of the plate 1 and the gasket groove 6 with the

expanded portion 7 are provided. Simultaneously the opening 8 is formed, the two parts of the pressing tool pressing a tongue-like portion 9 upwards in relation to the gasket groove 6 and the expanded portion 7. In the operation, two slits are cut in the plate 1, but no material is removed.

The opening 8 co-operates with a corresponding opening at the opposite side of the tongue 9 to form the coupling means of the plate 1 for a gasket, wherein the upper edge of the opening 8 will, following interconnecting, retain an engaging part on the expanded portion of a gasket as will be described below. The width of the tongue 9 is well-defined, and the plate material of which it consists is essentially not exposed to further tensions or contractions by the ridging which means that the distance between the upper edges of the two engaging openings 8 is accurately determined within narrow tolerances.

Figure 3 shows a part of a gasket 3 that matches the gasket groove 6 in the sheet 1 shown in Figure 2. At intervals, the gasket 3 is provided with coupling elements 10 that consist - in the embodiment shown - of two protruding flaps 11. The configuration of the gasket 3 and the protruding tongues 11 corresponds with a high degree of accuracy to the configuration of the gasket groove 6 and the expanded portion 7 thereof. The flaps 11 are configured such that the parts thereof that face each other are able to snap-lockingly engage with the openings 8 in the plate 1.

Preferably the gasket is made of rubber, but it may also be made of other material. On its top and bottom faces, the gasket 3 can also be provided with sealing lips 12 as

shown to accomplish improved sealing between the heat exchanger plates when assembled to form a plate-type heat exchanger.

5 Figure 4 shows the gasket 3 illustrated in Figure 3 mounted in the heat exchanger shown in Figure 2. As will appear from the dash-dotted lines, part of the flaps 11 on the gasket 3 extend into the openings 8 on the plate 1. Preferably the flaps 11 snap into the openings 8, but  
10 the attachment can also be accomplished by a purely clamping effect wherein the soft material of the gasket 3 thus merely squeezes around the openings 8. As mentioned previously the openings 8 can feature burrs as a result of the cutting and ridging procedures. Following mounting  
15 of the gasket 3, such burrs can engage with the rubber material and thus contribute to further securing the gasket 3.

20 Figure 5 shows an alternative embodiment of a heat exchanger plate 21 in accordance with the invention.

Again, the plate 21 is provided with a gasket groove 26 having an expanded portion 27. In this embodiment the expanded portion 7 is provided by ridging of material from  
25 the gasket groove 6 in such a manner that two identical, tongue-like portions 29 are formed, each of which corresponds substantially to the tongue 9 in the embodiment shown in Figure 2. The upper edges of both tongues 29 being free, they are not influenced by the remaining plate  
30 elements of the plate 21 and their contractions, if any, during manufacture of the plate 21, with the result that the mutual distances between the openings formed 28 - of which there is a total of four, two for each tongue 29 - are determined accurately within narrow limits.

The formation of two tongues 29 rather than one means that there are more options with regard to the configuration of the associated gasket, of which three are shown in Figures 6-8.

Figure 6 shows a gasket 33 provided with a coupling element 30 in the form of a centrally protruding coupling flap 31 and two external flaps 32. The central coupling flap 31 has a width that, in general, slightly exceeds the distance between the two ridged tongues 29 in the expanded portion 27 of the plate 21, whereby it can be clamped tightly between the openings 28 of the two tongues 29. The external flaps 32 are configured to be complementary with the outermost areas of the expanded portion 27 of the plate 21, whereby the gasket 33 fills the entire expanded portion 27 when mounted. In this embodiment, the external flaps 32 as such do not contribute to securing the gasket 33 in the gasket groove 26 and, as it is, they might as well be omitted.

The mounting of the gasket 33 is accomplished by arranging same in the gasket groove 26 and pressing the central coupling flap 31 down between the two tongues 29 whereby it snaps into the openings 28 of the tongues 29 and is secured herein below the upper edges of the tongues 29.

In order to facilitate mounting the gasket 33 can, as shown in Figure 7, be provided with a pressure element 34 arranged above the coupling element 30 of the gasket 33 that has been shown in dashed lines. The pressure element 34 is coherent with the central coupling flap 31 and the external flaps 32 without, however, the functionality of the central flap 31 being influenced. On its top face the



pressure element 34 is provided with a pressure cushion 35 in the form of an upwardly arched thickening. When the gasket 33 shown in Figure 7 is mounted in the plate 21, the coupling element 30 of the gasket 33 is pressed into the expanded part 27 of the plate 21 by application of downwards pressure onto the pressure cushion 35. The configuration of the coupling element 30 with a superjacent pressure element 34 is particularly interesting if the gasket 33 is to be mounted mechanically in the gasket groove 26, but it also presents certain advantages from a production point of view in connection with the manufacture of the gasket 33.

Figure 8 shows yet an alternative embodiment of a gasket that can be mounted in the plate 21 shown in Figure 5.

Like the coupling element 30 on the gasket 33 shown in Figures 6 and 7, the coupling element 40 of this gasket 43 consists of a central flap 41 and two external flaps 42. In this embodiment the external flaps 42 are the ones that will, upon mounting on the heat exchanger plate 21, engage with the openings 28 formed at the external sides of the ridged tongues 29, each of these external flaps 42 being provided with an inwardly protruding portion. The configuration of the coupling element 40 of the gasket 43 thus corresponds, in principle, to the configuration of the coupling element 10 of the gasket 3 (Figure 2), there merely being a longer distance between the external flaps 42 than between the protruding flaps 11.

The central flap 41 can be configured such that it fits tightly down between the ridged tongues 29 whereby it contributes to securing the gasket 43 when it is mounted on the plate 21. However, it may also have a width that

is smaller than the distance between the ridged tongues 29 which means that it does not contribute to securing the gasket 43, or it can optionally be omitted altogether.

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The invention has been described with reference to preferred embodiments shown in the drawing, but alternative embodiments that are within the scope of the invention are perceivable, however. For instance the coupling elements 10 and 40, respectively, of the gaskets (3) (Figure 3) and 43 (Figure 8) can be provided with a superjacent pressure element corresponding to the pressure element 34 shown in Figure 7 to facilitate mounting on the heat exchanger plates 1 and 21, respectively.

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The coupling elements 10, 30 and 40 of the gaskets are shown in the embodiments as protruding from the gaskets 3, 33 and 43 with rounded, soft contours that impart to the gasket certain advantageous features with regard to strength and production. However, nothing prevents the coupling elements from being configured in other ways.

C l a i m s

1. A heat exchanger plate (1;21) for a plate-type heat exchanger, said plate (1;21) comprising a gasket groove (6;26) in the form of an indentation that extends, at least across that portion of the plate (1;21), close to the periphery of the plate and is, at intervals, provided with an expanded portion (7;27) for receiving a coupling element (10;30;40) on an associated gasket (3;33;43), said expanded portion (7;27) being situated substantially in the same plane as the gasket groove (6;26) itself, wherein there is, in connection with each of the expanded portions (7;27) of the gasket groove (6;26), by cutting and ridging of the plate material provided at least two openings (8;28) substantially perpendicular to the longitudinal direction of the gasket groove, said openings (8;28) being configured for engaging with said coupling element (10;30;40), characterised in that in the expanded portion (7;27) and substantially perpendicular to the gasket groove (6;26) there is provided at least one ridged, tongue-like portion (9;29), wherein the openings (8;28) are located at each side of the tongue-like portion (9;29) between this and the expanded portion (7;27) of the gasket groove (6;26).

2. A heat exchanger plate according to claim 1, characterised in that one ridged, tongue-like portion (9) is provided centrally in the expanded portion (7).

3. A heat exchanger plate according to claim 1, characterised in that two ridged, tongue-like portions (29) are provided at a distance from each other in the expanded portion (27).

4. A heat exchanger plate according to claim 1, characterised in that a gasket (3;33,43) is provided, said coupling element (10;30;40) of the gasket comprises protruding flaps (11;31;42) that are able to engage with the openings (8;28).

5. A heat exchanger plate according to claim 4, characterised in that one ridged, tongue-like portion 2 (9) is provided centrally in the expanded portion (7); and that the coupling element (10) of the gasket comprises two protruding flaps (11) that are configured for engaging with the openings (8) provided at each side of the tongue-like portion (9).

6. A heat exchanger plate according to claim 4, characterised in that two ridged, tongue-like portions (29) are provided at a distance from each other in the expanded portion (27); and that the coupling element (30) of the gasket comprises a protruding flap (31) configured for engaging with the two central and mutually facing openings 28 (28) provided at each their tongue-like portion (29).

7. A heat exchanger plate according to claim 4, characterised in that two ridged, tongue-like portions (29) are provided at a distance from each other in the expanded portion (27); and that the coupling element (40) of the gasket comprises two outwardly protruding flaps (42) that are configured for engaging with the two mutually most distant openings (28) provided at each their tongue-like portion (29).

8. A heat exchanger plate according to any one of claims 4-7, characterised in that the flaps (11;31,42) on the

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coupling elements (10;30;40) of the gasket extend partially into the openings (8;28).

5 9. A heat exchanger plate according to any one of claims 4-7, characterised in the flaps (11;31;42) on the coupling elements (10;30;40) of the gasket press on the openings (8;28) without extending considerably into same.

10 10. A heat exchanger plate according to any one of claims 4-9, characterised in that the coupling element (10;30;40) of the gasket is provided with a superjacent pressure element (34).

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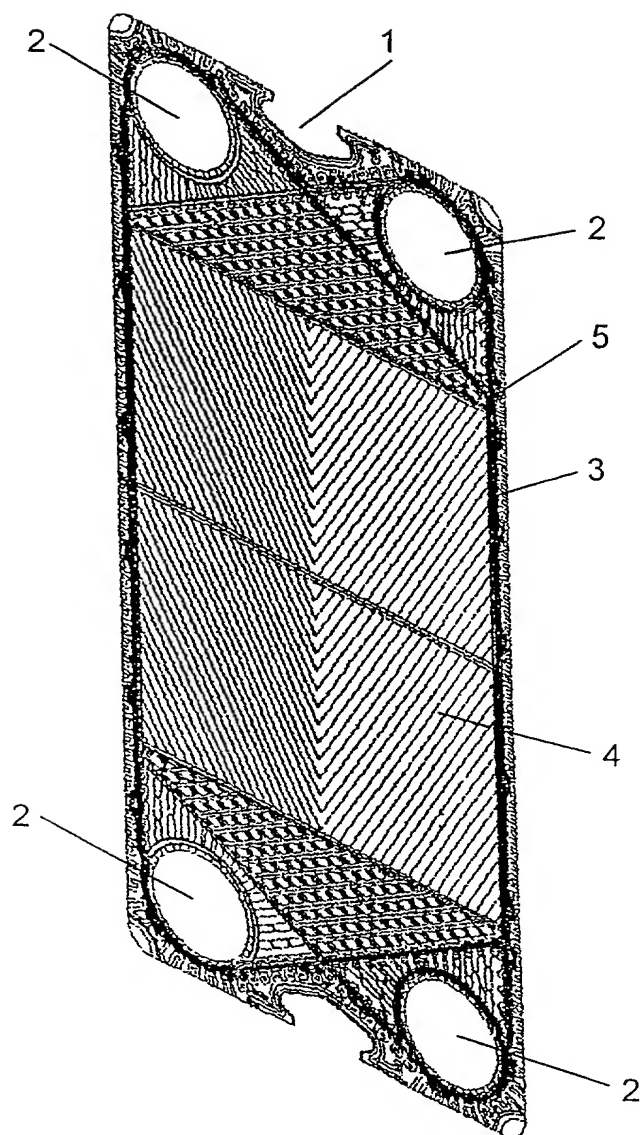
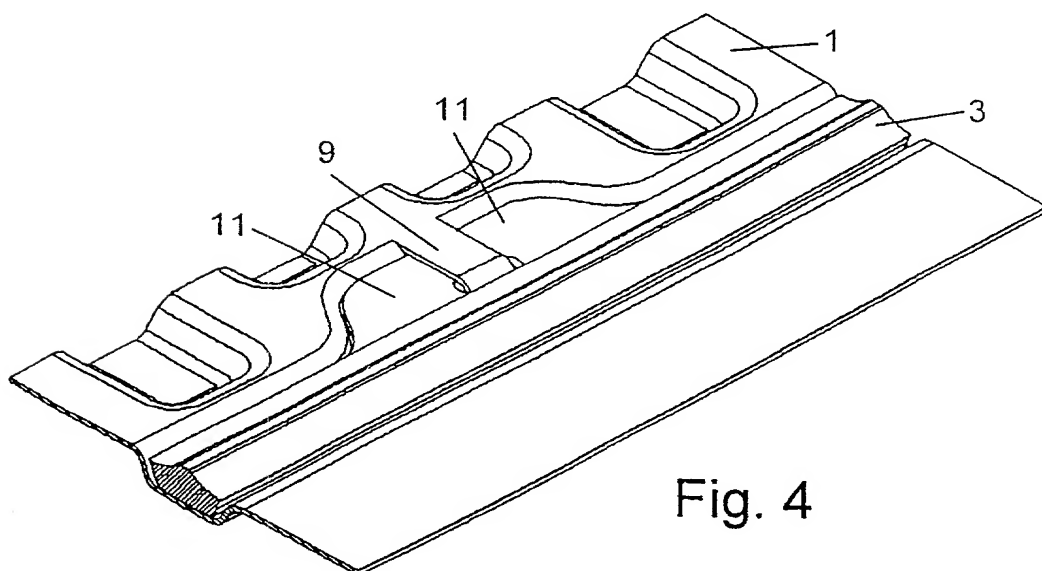
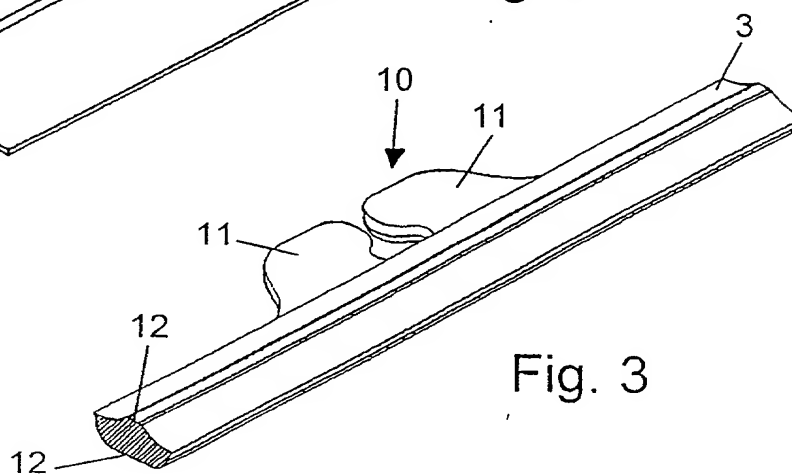
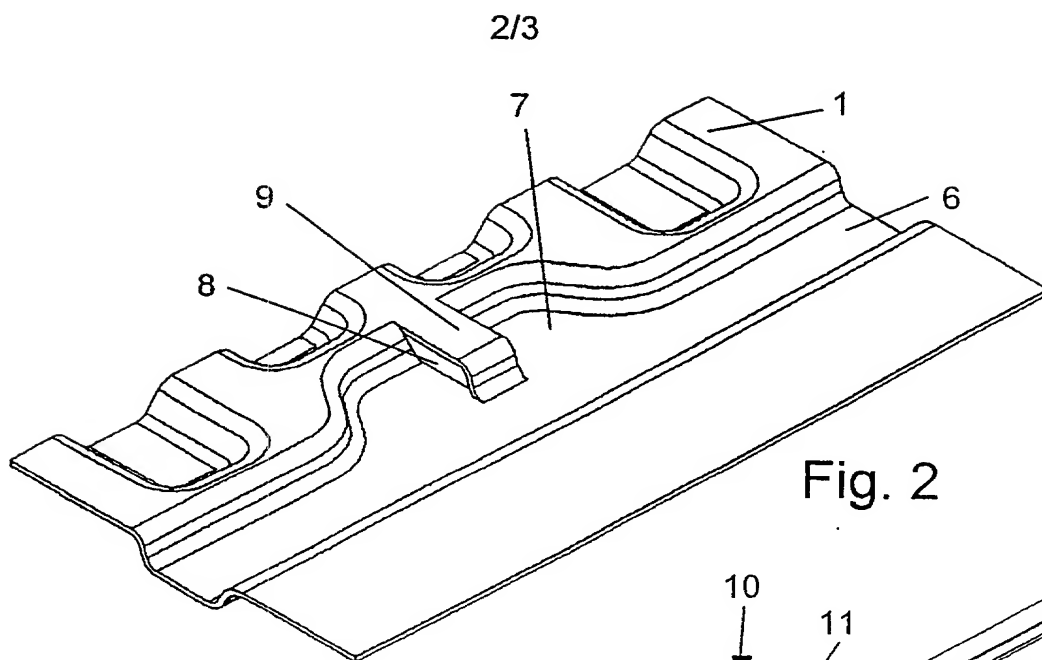


Fig. 1



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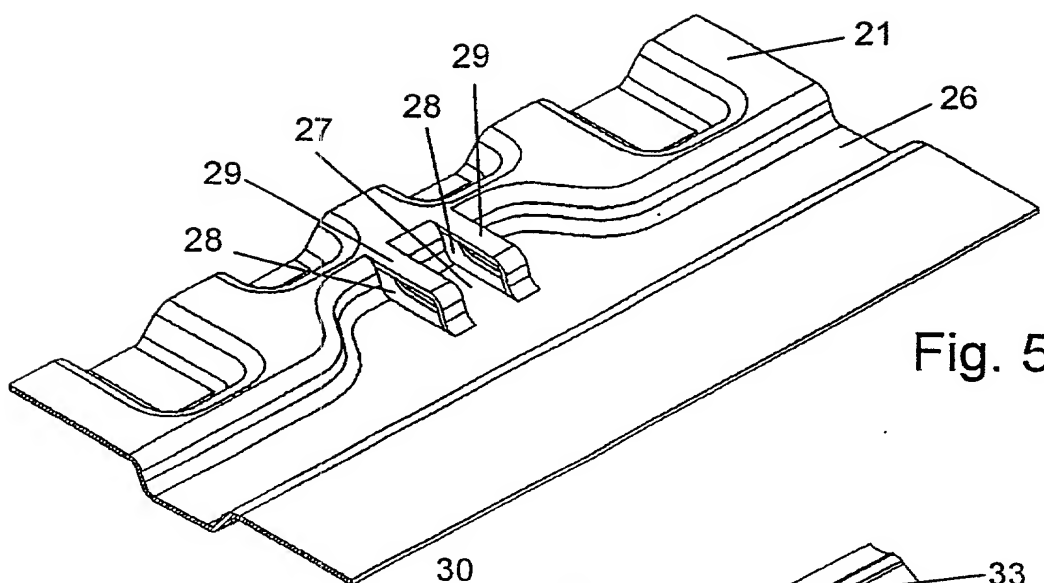


Fig. 5

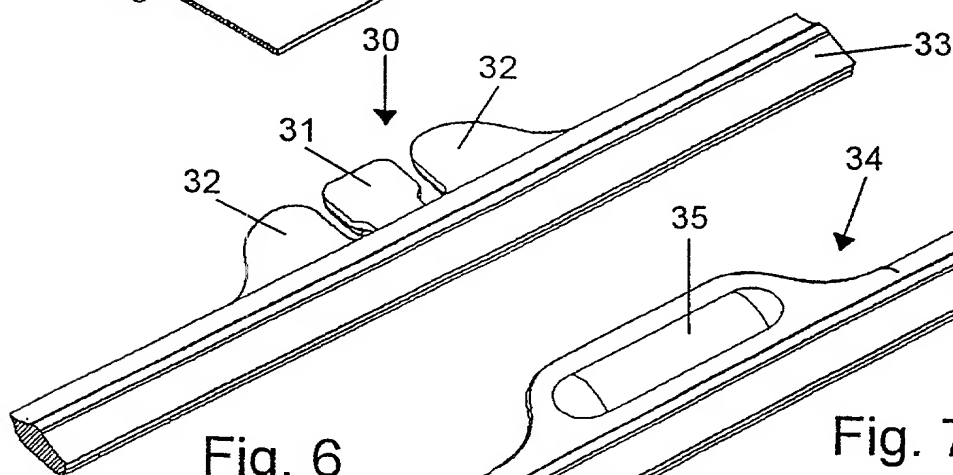


Fig. 6

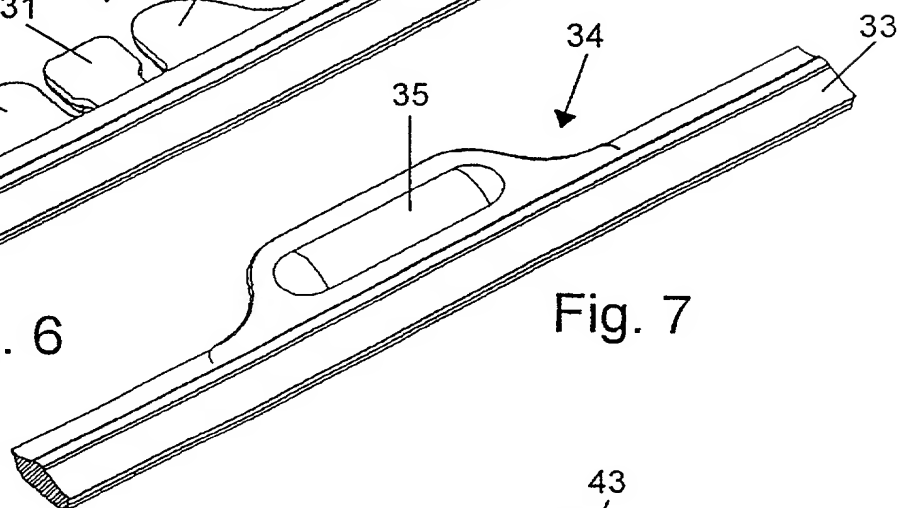


Fig. 7

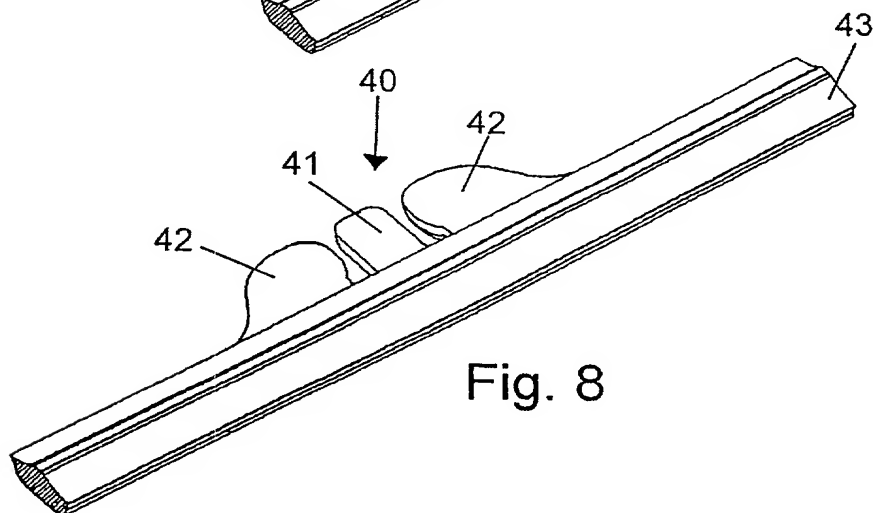


Fig. 8



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☐ original ☐ design ☐ supplemental  
☒ national stage of PCT  
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A heat exchanger plate and such a plate with a gasket

the specification of which:

- ☐ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (*if applicable*).  
☐ was filed by Express Mail No. \_\_\_\_\_ as Application No. not known yet, and was amended on \_\_\_\_\_ (*if applicable*).  
X was filed on June 14, 2000 as PCT International Application No. PCT/DK00/00319 and was amended on \_\_\_\_\_ (*if any*).

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS						
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED			
Denmark	PA 1999 00838	14 June 1999	X	YES		NO
				YES		NO
				YES		NO

In re Appln. of **Petersen et al.**  
 Attorney Docket No **214848**

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION No.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION No.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4. PCT/DK00/00319	14 June 2000			X	
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. No.	COUNTRY	APPLICATION No.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
3.				
4. PCT/DK00/00319	Denmark	PA 1999 00838	14 June 1999	
5.				
6.				

In re Appln. of **Petersen et al.**  
Attorney Docket No. **214848**

As a named inventor, I hereby appoint Leydig, Voit & Mayer, Ltd. to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Customer Number 23460.



**23460**

PATENT TRADEMARK OFFICE

I further direct that correspondence concerning this application be directed to Leydig, Voit & Mayer, Ltd.: Customer Number 23460.



**23460**

PATENT TRADEMARK OFFICE

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Declaration (Rev. 5/25/2001)